| Applicant: Philip Henry Coell | ho, Phil Kingsley, Jim B | rausch, James H. Godsey, Gail Rock |
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| Serial or Patent N .: <u>09/129</u> , | 988 | Att rney's Docket No.: 28182-pa |
| Filed: August 5, 1998 | | |
| F r: <u>Apparatus and Method of</u> | Preparation of Stable, L | ong Term Thrombin from Plasma and |
| Thrombin Formed Thereby | | |
| VERIFIED STATEME STATUS (37 CFR | ENT (DECLARATION) C 1.9(f) and 1.27(b)) - IN | LAIMING SMALL ENTITY DEPENDENT INVENTOR |
| As a below named inventor, I defined in 37 CFR 1.9(c) for pu Title 35, United States Code, to | urposes of paying reduce | qualify as an independent inventor as d fees under section 41 (a) and (b) of rk described in: |
| the specification filed he XX application serial no.: patent no. | <u>09/129,988</u> , filed | August 5, 1998 |
| I have not assigned, granted, co or law to assign, grant, convey could not be classified as an in | nveyed or licensed and a y or license, any rights ndependent inventor und concern which would no | im under no obligation under contract in the invention to any person who er 37 CFR 1.9(c) if that person had t qualify as a small business concern |
| Each person, concern or organiz or am under an obligation under in the invention is listed below: | r contract or law to assi | ssigned, granted, conveyed, or licensed gn grant, convey or license any rights |
| no such person, concern, XX person, concerns or orga | or organization. nizations listed below* | |
| *NOTE: Separate verified organization having right (37 CFR 1.27) | l statements are required ts to the invention aver | I for each named person, concern or ring to their status as small entities |
| FULL NAME ThermoGenesis | Corp. | · |
| ADDRESS 3146 Gold Camp Dri | ive, Rancho Cordova, Cali | fornia 95670 |
| [] individual | [X] small business conce | ern [] nonprofit organization |
| status resulting in loss of entitle | ement to small entity st fee due after the date or | patent, notification of any change in atus prior to paying, the earliest of which status as a small entity is no |
| statements made on information statements were made with the lare punishable by fine or imprison States Code, and that such wi | and belief are believed knowledge that willful fa onment, or both, under s illful false statements r | own knowledge are true and that all to be true; and further that these lse statements and the like so made ection 1001 of Title 18 of the United may jeopardize the validity of the to which this verified statement is |
| Philip Nenry Coelho | Phil Kingsley | Jim Brausch |
| NAME OF INVENTOR | NAME OF INVENTO | OR NAME OF INVENTOR |
| JULION LOCKES | The last | lamas Branst |
| Signature of Inventor | Signature of Inventor | Signature of Inventor |
| | - 10/6/18 | - 10/0/98 |
| Date / / | Date | Date |

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| Signature of Inventor | Signature of Invent r | Signature of Inventor |
| Date | Date | Date |

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| Signature f Inventor | Signature of Inventor | Signature of Inventor |
| Date | Date 12 Oct 98. | Date |



As a below named inventor, I hereby declare that:

My residence, post ffice address and citizenship are as stated below next to my name.

I believe I am the riginal, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled Apparatus and Method of Preparation of Stable, Long Term Thrombin from Plasma and Thrombin Formed Thereby, the specification of which:

| XX wa | attached hereto. s filed on <u>August</u> d was amended | 5, 1998 as | Application | Serial No.: <u>09/</u> (if applicable). | 129,988 |
|---|---|--|--|---|---|
| | | e reviewed and u laims, as amended | | ie contents of t | the above identified to above. |
| I acknowl application | edge the duty to in accordance_w | disclose information that the disclose information discourage in the discourage discoura | on which is e of Federal | material to the Regulations 1.5 | examination of this |
| application any foreig | (s) for patent or | inventor's certific patent or inventor | ate listed be | low and have a | l 19 of any foreign Ilso identified below date before that of |
| Prior For | eign Application(s |) | | | Priority Claimed |
| (Number) | · · · · · · · · · · · · · · · · · · · | (Country) | (Day | //Month/Year) | NO |
| disclosed in 35, U.S. (Title 37, (| , insofar as the n the prior U.S. a Code 112, I ackn Code of Federal F | subject matter of application in the solution in the solution. | each of the manner provi to disclose , which occu | e claims of this ded by the first material informa rred between th | application(s) listed application is not paragraph of Title ation as defined in e filing date of the application: |
| (Applicatio | n Serial No.) | (Filing Date | e) (S | tatus-patented, p | ending, abandoned) |
| 41,768; DE | ENNIS A. DEBOC | RD KRETEN, Re), Reg. No. 42,471 d Trademark Offic | ; to prosecu | te this applicati | GALLO, Reg. No. on and to transact |
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| statements statements are punisha States Cod | made on informa were made with able by fine or in | ation and belief a the knowledge tha aprisonment, or bo h willful false st | re believed t t willful fals th, under sec | o be true; and e statements and tion 1001 of Tit | e true and that all further that these d the like so made le 18 of the United he validity of the |
| | of Inventor: <u>Ph</u> s Signatu <u>r</u> e | ilip Henry Coelho | Ci | tizenship: <u>Unit</u> | ed States |
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